

METHOD AND APPARATUS FOR DETERMINING A NECK MOVEMENT PATTERN

5 Cross-Reference to Related Application:

This application is a continuation of copending International Application No. PCT/EP00/03378, filed April 14, 2000, which designated the United States.

10 Background of the Invention:

Field of the Invention:

15 The invention relates to a method for determining the neck movement pattern of a subject. It also relates to a corresponding apparatus for carrying out the method.

20 It has long been desired to find a suitable examination method in order to be able to measure reliably impairments of neck mobility resulting from a head-neck whiplash injury, termed a cervical column whiplash injury. The principal object of the examination methods or procedures that are to be applied in the physical and functional fields with the focus on symptoms and individual cases is to clarify accident-related injuries in the region of the cervical column.

25 The cervical column whiplash injury, which counts among the most frequent traumatic injuries of the neck, is a

pathological injury that occurs, in particular, as a result of automobile accidents with or without contact with the inside of the vehicle. The cervical column whiplash injury here denotes a physical acceleration or deceleration traumatic injury with a transfer of energy to the head-neck structure, which is connected similarly to a pendulum rod, with a rotary-sliding joint, specifically the head socket joint, mounted on top. The flinging movements can be initiated by linear rear and/or front impact accidents, or by linear impact accidents on the right-hand or left-hand side. Also known, in addition, are comparatively complicated collision mechanisms wherein the vehicles are hit diagonally.

The diagonal collisions generally result in a rotation of the vehicle accompanied by angular rotational accelerations which continue on to the cervical column structure, its joints, ligaments, muscles, tendons and other movement articulations of the or each vehicle occupant. The cervical column whiplash injury is therefore distinguished by a multifarious set of symptoms which frequently can be predicted only with difficulty in the individual case owing to the traumatic injury that is acting. In this regard, various terms are used in the medical literature to describe this injury such as, for example, cervico-encephalic, cervico-brachial, cervico-medullary or cervical syndrome, chronic post-traumatic

head/neck injury, cervical column distortion, or whiplash syndrome.

Since the neck region is traversed not only by the spinal cord
5 as the important central nervous control organ, but also by
important blood vessels, nerve pathways, muscles, tendons, the
esophagus and windpipe, injury in this region can lead to
particularly severe medical conditions determined by a
multiplicity of symptoms. As a result of the multiplicity of
10 these many symptoms and syndromes, there has so far not been
any diagnostic finding which can be established in a simple
way and is at the same time reliable and can conclusively
prove the cervical column whiplash injury per se.

15 A first proof has been achieved through a radiological
determination of the ligament injuries inside the head socket
joint, that is to say the connections between the cranial base
and the first and second cervical vertebrae. This involves
nuclear magnetic resonance imaging which can, however, in the
20 final analysis ascertain only relatively major morphological
injuries such as ligament ruptures, torn ligaments, ligament
swelling and eccentricities and/or fractures of the dens axis.

Those affected (the patients) themselves note the substantial
25 changes in the head socket joint after the collision with a

vehicle because they acquire a stiff neck or suffer neck pain and are greatly limited in their head-neck mobility.

U.S. Patent No. 5,203,346 (see international PCT publication
5 WO 91/15148 (PCT/US 91/01796)) describes a noninvasive method for determining the movement of the cervical vertebrae. There, a subject in a sitting position is instructed to follow visual stimuli and, systematically, a luminous marking pattern on a wall while his head movements are recorded in three dimensions
10 by means of video cameras. For this purpose, a marking device which can be recorded is fitted on the head of the subject. If deviations from the straight sequence of luminous simulators are determined, these deviations are interpreted as faulty control of the neck movements.

15 Although the examination is carried out on a seated patient, it is, on the one hand, not ensured in this known method that the patient or subject behaves during the head movement in such a way that no additional head and trunk movements occur
20 which under natural conditions support targeted head movement. On the other hand, the seated position disadvantageously leads to a restriction of the movement of the subject. Since trunk movements supporting the head movement are therefore not taken into account, and it is only from the acquired head movement
25 that conclusions are drawn concerning the movement or mobility of the cervical column without, moreover, distinguishing

between the lower cervical column (pendulum rod) and upper cervical column (head socket joint), the known method is not sufficiently exact, particularly with regard to a reliable statement on the type and degree, as well as the localization, of an injury to the cervical column. Consequently, this known method cannot be used, at least not in the way desired, to prove on good foundations the causality of an injury to the cervical column as a consequence of a cervical column whiplash injury caused by a traffic accident.

In the case of a device known from the printed publication entitled "Forschungsbericht Cranio-Corpo-Grafie (CCG)", ISBN 3-88383-126-3 (June 1986) appearing in the series of papers of the Hauptverband der gewerblichen Berufsgenossenschaften e.V., the head and trunk movement of a subject is visualized by means of markers in the form of incandescent lamps, one each being fitted on either shoulder of the subject and above his forehead and the back of his head. The movement of each marker in the horizontal plane is photographically recorded by a camera, arranged above the subject, under permanent exposure as a luminous trace in what is termed a craniocorpogram. The luminous traces are evaluated on the photograph after the experiment has been carried out. However, the corresponding evaluation of the pictures, which is performed either by measuring the geometry of the luminous traces or by associative connection of the complex movement pattern with

comparative patterns denoted as graphic elements is associated with a substantial time outlay. A further disadvantage consists in that some of the information generated in the experiment is lost in the photographic recording of the marker movements, more so since only the horizontal components of the marker movements are to be detected on the photograph. A statement on vertical movements and on the absolute height of a marker in space therefore cannot be made. Moreover, it frequently happens that overlapping of the luminous traces leads to occlusions, since the luminous traces of all the markers are contained on a single photograph. It is therefore difficult or even impossible to characterize individual luminous traces. Information is also lost in the dead angle which is situated directly below the chamber and wherein the chamber projects into the beam path running between the mirror and a marker.

German patent DE 38 29 885 C2 discloses a device wherein use is made, instead of a camera, of an arrangement, fitted above the subject, of photocells for recording the luminous traces. This arrangement eliminates the dead angle. The luminous traces are analyzed there by means of a digital computer, with regard to calculating the movement deviations relevant for craniocorpography. However, no provision is made there for evaluation with regard to an interpretation of acquired movement patterns, in particular of the neck movement pattern.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000
1001
1002
1003
1004
1005
1006
1007
1008
1009
1010
1011
1012
1013
1014
1015
1016
1017
1018
1019
1020
1021
1022
1023
1024
1025
1026
1027
1028
1029
1030
1031
1032
1033
1034
1035
1036
1037
1038
1039
1040
1041
1042
1043
1044
1045
1046
1047
1048
1049
1050
1051
1052
1053
1054
1055
1056
1057
1058
1059
1060
1061
1062
1063
1064
1065
1066
1067
1068
1069
1070
1071
1072
1073
1074
1075
1076
1077
1078
1079
1080
1081
1082
1083
1084
1085
1086
1087
1088
1089
1090
1091
1092
1093
1094
1095
1096
1097
1098
1099
1100
1101
1102
1103
1104
1105
1106
1107
1108
1109
1110
1111
1112
1113
1114
1115
1116
1117
1118
1119
1120
1121
1122
1123
1124
1125
1126
1127
1128
1129
1130
1131
1132
1133
1134
1135
1136
1137
1138
1139
1140
1141
1142
1143
1144
1145
1146
1147
1148
1149
1150
1151
1152
1153
1154
1155
1156
1157
1158
1159
1160
1161
1162
1163
1164
1165
1166
1167
1168
1169
1170
1171
1172
1173
1174
1175
1176
1177
1178
1179
1180
1181
1182
1183
1184
1185
1186
1187
1188
1189
1190
1191
1192
1193
1194
1195
1196
1197
1198
1199
1200
1201
1202
1203
1204
1205
1206
1207
1208
1209
1210
1211
1212
1213
1214
1215
1216
1217
1218
1219
1220
1221
1222
1223
1224
1225
1226
1227
1228
1229
1230
1231
1232
1233
1234
1235
1236
1237
1238
1239
1240
1241
1242
1243
1244
1245
1246
1247
1248
1249
1250
1251
1252
1253
1254
1255
1256
1257
1258
1259
1260
1261
1262
1263
1264
1265
1266
1267
1268
1269
1270
1271
1272
1273
1274
1275
1276
1277
1278
1279
1280
1281
1282
1283
1284
1285
1286
1287
1288
1289
1290
1291
1292
1293
1294
1295
1296
1297
1298
1299
1300
1301
1302
1303
1304
1305
1306
1307
1308
1309
1310
1311
1312
1313
1314
1315
1316
1317
1318
1319
1320
1321
1322
1323
1324
1325
1326
1327
1328
1329
1330
1331
1332
1333
1334
1335
1336
1337
1338
1339
1340
1341
1342
1343
1344
1345
1346
1347
1348
1349
1350
1351
1352
1353
1354
1355
1356
1357
1358
1359
1360
1361
1362
1363
1364
1365
1366
1367
1368
1369
1370
1371
1372
1373
1374
1375
1376
1377
1378
1379
1380
1381
1382
1383
1384
1385
1386
1387
1388
1389
1390
1391
1392
1393
1394
1395
1396
1397
1398
1399
1400
1401
1402
1403
1404
1405
1406
1407
1408
1409
1410
1411
1412
1413
1414
1415
1416
1417
1418
1419
1420
1421
1422
1423
1424
1425
1426
1427
1428
1429
1430
1431
1432
1433
1434
1435
1436
1437
1438
1439
1440
1441
1442
1443
1444
1445
1446
1447
1448
1449
1450
1451
1452
1453
1454
1455
1456
1457
1458
1459
1460
1461
1462
1463
1464
1465
1466
1467
1468
1469
1470
1471
1472
1473
1474
1475
1476
1477
1478
1479
1480
1481
1482
1483
1484
1485
1486
1487
1488
1489
1490
1491
1492
1493
1494
1495
1496
1497
1498
1499
1500
1501
1502
1503
1504
1505
1506
1507
1508
1509
1510
1511
1512
1513
1514
1515
1516
1517
1518
1519
1520
1521
1522
1523
1524
1525
1526
1527
1528
1529
1530
1531
1532
1533
1534
1535
1536
1537
1538
1539
1540
1541
1542
1543
1544
1545
1546
1547
1548
1549
1550
1551
1552
1553
1554
1555
1556
1557
1558
1559
1560
1561
1562
1563
1564
1565
1566
1567
1568
1569
1570
1571
1572
1573
1574
1575
1576
1577
1578
1579
1580
1581
1582
1583
1584
1585
1586
1587
1588
1589
1590
1591
1592
1593
1594
1595
1596
1597
1598
1599
1600
1601
1602
1603
1604
1605
1606
1607
1608
1609
1610
1611
1612
1613
1614
1615
1616
1617
1618
1619
1620
1621
1622
1623
1624
1625
1626
1627
1628
1629
1630
1631
1632
1633
1634
1635
1636
1637
1638
1639
1640
1641
1642
1643
1644
1645
1646
1647
1648
1649
1650
1651
1652
1653
1654
1655
1656
1657
1658
1659
1660
1661
1662
1663
1664
1665
1666
1667
1668
1669
1670
1671
1672
1673
1674
1675
1676
1677
1678
1679
1680
1681
1682
1683
1684
1685
1686
1687
1688
1689
1690
1691
1692
1693
1694
1695
1696
1697
1698
1699
1700
1701
1702
1703
1704
1705
1706
1707
1708
1709
1710
1711
1712
1713
1714
1715
1716
1717
1718
1719
1720
1721
1722
1723
1724
1725
1726
1727
1728
1729
1730
1731
1732
1733
1734
1735
1736
1737
1738
1739
1740
1741
1742
1743
1744
1745
1746
1747
1748
1749
1750
1751
1752
1753
1754
1755
1756
1757
1758
1759
1760
1761
1762
1763
1764
1765
1766
1767
1768
1769
1770
1771
1772
1773
1774
1775
1776
1777
1778
1779
1780
1781
1782
1783
1784
1785
1786
1787
1788
1789
1790
1791
1792
1793
1794
1795
1796
1797
1798
1799
1800
1801
1802
1803
1804
1805
1806
1807
1808
1809
1810
1811
1812
1813
1814
1815
1816
1817
1818
1819
1820
1821
1822
1823
1824
1825
1826
1827
1828
1829
1830
1831
1832
1833
1834
1835
1836
1837
1838
1839
1840
1841
1842
1843
1844
1845
1846
1847
1848
1849
1850
1851
1852
1853
1854
1855
1856
1857
1858
1859
1860
1861
1862
1863
1864
1865
1866
1867
1868
1869
1870
1871
1872
1873
1874
1875
1876
1877
1878
1879
1880
1881
1882
1883
1884
1885
1886
1887
1888
1889
1890
1891
1892
1893
1894
1895
1896
1897
1898
1899
1900
1901
1902
1903
1904
1905
1906
1907
1908
1909
1910
1911
1912
1913
1914
1915
1916
1917
1918
1919
1920
1921
1922
1923
1924
1925
1926
1927
1928
1929
1930
1931
1932
1933
1934
1935
1936
1937
1938
1939
1940
1941
1942
1943
1944
1945
1946
1947
1948
1949
1950
1951
1952
1953
1954
1955
1956
1957
1958
1959
1960
1961
1962
1963
1964
1965
1966
1967
1968
1969
1970
1971
1972
1973
1974
1975
1976
1977
1978
1979
1980
1981
1982
1983
1984
1985
1986
1987
1988
1989
1990
1991
1992
1993
1994
1995
1996
1997
1998
1999
2000
2001
2002
2003
2004
2005
2006
2007
2008
2009
2010
2011
2012
2013
2014
2015
2016
2017
2018
2019
2020
2021
2022
2023
2024
2025
2026
2027
2028
2029
2030
2031
2032
2033
2034
2035
2036
2037
2038
2039
2040
2041
2042
2043
2044
2045
2046
2047
2048
2049
2050
2051
2052
2053
2054
2055
2056
2057
2058
2059
2060
2061
2062
2063
2064
2065
2066
2067
2068
2069
2070
2071
2072
2073
2074
2075
2076
2077
2078
2079
2080
2081
2082
2083
2084
2085
2086
2087
2088
2089
2090
2091
2092
2093
2094
2095
2096
2097
2098
2099
2100
2101
2102
2103
2104
2105
2106
2107
2108
2109
2110
2111
2112
2113
2114
2115
2116
2117
2118
2119
2120
2121
2122
2123
2124
2125
2126
2127
2128
2129
2130
2131
2132
2133
2134
2135
2136
2137
2138
2139
2140
2141
2142
2143
2144
2145
2146
2147
2148
2149
2150
2151
2152
2153
2154
2155
2156
2157
2158
2159
2160
2161
2162
2163
2164
2165
2166
2167
2168
2169
2170
2171
2172
2173
2174
2175
2176
2177
2178
2179
2180
2181
2182
2183
2184
2185
2186
2187
2188
2189
2190
2191
2192
2193
2194
2195
2196
2197
2198
2199
2200
2201
2202
2203
2204
2205
2206
2207
2208
2209
2210
2211
2212
2213
2214
2215
2216
2217
2218
2219
2220
2221
2222
2223
2224
2225
2226
2227
2228
2229
2230
2231
2232
2233
2234
2235
2236
2237
2238

apparatus which is particularly suitable for carrying out the method.

With the foregoing and other objects in view there is

5 provided, in accordance with the invention, a method for determining the neck movement pattern of a subject, which comprises:

placing markers on the shoulders and on the head of the subject;

10 recording a head/body movement of the subject with the aid of the markers moving with the body of the subject;

acquiring a locus of each marker in three-dimensional space as a function of time and storing the loci as a data record;

using the data record to form a mean value of the loci

15 representing a shoulder movement and a difference between the mean value and the loci representing a head movement; and

generating a profile of the neck movement pattern derived therefrom in at least one space coordinate.

20 In other words, the neck movement is isolated from the head-body movement acquired without contact, this being done on the basis of craniocorpography by calculating the difference

between the trunk movement and the head movement from the acquired loci of the marker also being moved by the subject.

In order to analyze typical neck movement patterns, the first step is to record the loci of markers arranged on the head and on the shoulders and moving with the subject, doing so in three dimensions and as a function of time. Subsequently, the locus representing the head movement is subtracted from the loci representing the shoulder movements, and thus the trunk movement (cervical subtraction kinesimetry). In this process, an average value movement of the two shoulders, and thus a movement of the virtual subpoint of the cervical column, is determined from the loci of the two shoulder movements. The locus of preferably the marker arranged on or over the forehead of the subject is subtracted from this movement of the virtual subpoint of the cervical column. It is also possible, in turn, firstly to determine the mean value from the loci of a marker arranged on or over the forehead and on or above the back of the head, preferably in the region of the continuation of the cervical column supporting point of the head through the head socket joint of the subject. Here, this mean value represents the head movement to be subtracted from the mean value movement of the shoulders.

The invention proceeds in this case from the consideration that, on the one hand, both the seated subject and the subject

who is standing, walking or marking time executes whole body sways which are displayed or visible both on the trunk and thus on both shoulders as well as on the head. On the other hand, all movements which occur in the same way on the head and on the trunk are not neck movements. Thus, if the head movements are subtracted from the shoulder-trunk movements, the result from the difference is a movement pattern which is related exclusively to the neck movements and whose time profile in three-dimensional space can be imaged or can be displayed both with reference to the point on the forehead and with reference to the point on the back of the head. This time-dependent, three-dimensional movement pattern can then be projected in terms of data for the purpose of analysis onto the various datum planes, for example of a Cartesian coordinate system. These then two-dimensional profiles of the neck movement pattern, for example in the xy-and/or yz-planes, can then be used to deduce the nature, the degree and, advantageously, also the location of an injury to the cervical column from deviations from profiles of an uninjured cervical column. It is also advantageously possible to determine an injury in the functional movement pattern of the head socket joint.

The localization of an injury of the cervical column is, in particular, of substantial importance for proving a causality of an injury to the cervical column as a consequence of a

cervical column whiplash injury caused by a traffic accident:
if, on the one hand, it is possible to localize an injury to
the cervical column exactly and if, on the other hand, it can
be demonstrated that injuries to the cervical column as a
5 consequence of a cervical column whiplash injury occur
typically at a very specific point on the cervical column, the
desired causality could be proven reliably. On the basis of
the considerations and findings explained below, this
presupposes that the neck movement is isolated reliably from
10 head and trunk movements in order to be able exclusively to
analyze typical neck movements or neck movement patterns with
regard to the causality to be proven.

15 The human spine or the backbone is the axial skeleton which is
known to be formed from seven cervical vertebrae, twelve
thoracic vertebrae, five lumbar vertebrae, five sacral
vertebrae and five coccygeal vertebrae. These vertebrae are
interconnected by joints, intervertebral disks and ligaments
to form a resilient axial rod structure. In this case, the
20 cervical column constitutes the upper and most mobile part of
the entire spinal column with a physiological anterior
curvature or lordosis. The seven vertebrae of the cervical
column are usually denoted by C1 to C7, C1 being the uppermost
vertebra, also called the atlas, below which the vertebra C2,
25 also called the axis, is situated. Cervical vertebrae C3 to C7
situated below are delimited from one another and

interconnected by intervertebral disks, while such intervertebral disks are lacking between the cranial base and the two upper cervical vertebrae (C0/C1, C1/C2).

5 The first cervical vertebra C1 has no intravertebral body and comprises two arches each having a lateral massa supporting the articular surface, and each having a short transverse process with relatively large transverse process foramina. Projecting into the front part of the semicircular canal lumen
10 is a peg, what is termed the dens axis, which rotatably clamps the head cap with the spinal column. The second cervical vertebra C2 (axis or epistropheus) constitutes the axis of rotation for the first cervical vertebra C1 (atlas) including the head. It comprises a process, what is termed the dens,
15 which projects like a pin toward the atlas from the vertebral body, and in each case two lateral upper and lower articular surfaces.

A head-neck mobility which can easily be controlled by the
20 human brain is required for orientating the head and the line of vision both for seated and for standing subjects. The three head socket joints serve chiefly for these movements of the head. The first and upper head joint (articulatio atlanto-occipitalis) is a paired joint with elliptically shaped joint
25 bodies between the condyles of the occipital bone, also denoted as C0, and the upper lateral articular surfaces of the

atlas (C1). This joint is used predominantly for the nodding movements, the forwardly thrusting head movements and the lateral inclination of the head. The second, lower head joint (articulatio atlanto-axialis) is composed, in turn, of two joint units which are, however, different. It is therefore a multiple joint between the first and second cervical vertebral bodies. While one of the two joint units (articulatio atlanto-axialis mediana) takes over the rotation of the head, a sliding tilting of the head takes place in the second joint unit (articulatio atlanto-lateralis).

The cervical column can therefore be regarded as a unit, but breaks down for anatomical reasons into two movement structures, specifically the head socket joint with the sliding and rotary movement segments C0, C1 and C2, which lack intervertebral disks, and the further segment connections in the manner of a resilient rod with movement-restricting intervertebral disks between the vertebral bodies C2 to C7 and, farther to Th1.

The turning to the side or forward or backward that is important for orientating the head in space can, however, in the case of a stiff or injured neck, also be taken over by other sections of the spinal column, for example by the thoracic and lumbar vertebrae, or by the hip joints, and from the lower extremities. A forward, sideward or backward

movement or rotary movement actually performed by the head and detected there can therefore also be undertaken by deeper control members of the body. In other words: in order to prove the causal injury of the neck movement apparatus in the region of the head socket joint there is a need always to take into account that the relevant subject can effect head movements that are to be controlled simultaneously not only from the neck, but with the assistance of the entire body, the hip joints, the joints of the legs, and also the thoracic and lumbar vertebrae, as it were in a system of a second and third loop. The neck movements must therefore be isolated from the movements of the remainder of the body in order to determine an actual injury in the head socket joint and in the cervical column.

Motor disturbances caused exclusively at the neck can be acquired by measurement and evaluated by data processing in a reliable fashion by means of the method, developed further on the basis of craniocorpography, for determining the neck movement of a subject, in the case of which loci recorded by means of markers arranged on the two shoulders and on the head of the subject are subtracted from one another in order to isolate the neck movement in the way described. A further differentiation is based on subtracting the movement of the occipital pole from the trunk movement pattern in comparison with the movement subtraction of the sinciput pole (frontal

marker) from the trunk movement pattern. The differentiation of these two loci yields a deduction on the disturbance of the head socket joint. Moreover, loci acquired by markers fitted laterally over the ears on the top of the head can be used, in addition, for differential analysis of transverse sways.

10 In a corresponding analysis of the determined neck movement patterns, it is possible to make expedient use of reference patterns which are recorded in an appropriate way on uninjured subjects and on subjects who, for example as a consequence of an operation, demonstrably exhibit a localized injury to a specific region of the cervical column. In this case, it may be assumed on the basis of the above considerations and findings that the determined neck movement patterns have always been determined by means of the head socket joint with its three joint parts.

20 The determined neck movement patterns therefore reproduce a sliding-nodding movement forward by means of the upper head joint, a rotary movement by means of the middle lower head joint, and a lateral inclination and tilting by means of the lateral lower head joint, this movement also being supported by the upper head joint. Like a flexible rod, the section of cervical column situated therebelow between the third and 25 seventh cervical vertebrae (C3 and C7, respectively) adds further adjustments in the three spatial planes. However,

these are severely limited in angular terms, since these vertebrae are interconnected by intervertebral disks. There are no intervertebral disks on the vertebral vein C0/C1, that is to say in the region between the underside of the skull and the first cervical vertebra C1 (atlas), nor on the vertebral plane C1/C2, that is to say in the region between the underside of the first cervical vertebra C1 (atlas) and the top side of the second cervical vertebra C2 (axis), and so this joint moves in a particular way.

Investigations carried out using this method have shown that, in patients in whom this articular apparatus is damaged, typical variations in the rotary, sliding and tilting movements can be reliably represented as a function of the type of injury of the ligaments and joints. It was possible to underpin these findings by virtue of the fact that corresponding, typical joint injuries of the patients examined had been imaged with the aid of a radiological technique using nuclear magnetic resonance imaging. These injuries were additionally verified by neurosurgical inspections of the joint after surgical incision.

The method based on craniocorpography has the substantial advantage of representing injuries, determined rather in the manner of a Lakmus test, after a cervical column whiplash injury in a causal and comprehensible fashion even in the

functional field, the determined neck movement pattern being used as a measure of the mobility of the cervical column and, preferably, of the movement variations in the head socket joint. It is ensured in this case that no movements or
5 component movements of the remainder of the body, that is to say the head and/or the trunk, which could falsify the results of measurement or examination, are included in the determined neck movement pattern. The method according to the invention is therefore particularly suitable for detecting said
10 disturbances in the case of the known functional injuries for which there has previously been only a suspicion of damage to the cervical column by the cervical column whiplash injury.

In a particularly expedient refinement, the subtraction
15 between the shoulder movement, and thus the trunk movement, and the head movement is performed in each of the three space coordinates, a two-dimensional movement pattern being generated in each case in the various datum planes of the Cartesian coordinate system. In addition, a projection of the
20 loci representing the movement of at least one shoulder and the head of the subject onto at least one datum plane is preferably used to determine a number of frequencies corresponding to a body sway cycle, and to store them as analyzable and typifiable movement patterns of the
25 corresponding shoulder - and thus the trunk - and/or the head of the subject.

The projections of the loci, or each locus, onto the datum planes of the coordinate system are expediently determined for this purpose from the data record. The loci are advantageously
5 respectively stored as a data field (time-dependent) for this purpose.

With the above and other objects in view there is also provided, in accordance with the invention, an apparatus for
evaluating a movement pattern of a subject. The apparatus
comprises:

a plurality of markers respectively disposed on the shoulders and on the head of the subject;

a data processing system connected to a receiver configuration for recording a locus of each of the markers, the data
processing system comprising a processing stage for calculating a data record, representing the locus, from signals of the receiver configuration;

the data processing system further comprising an analysis
20 module with a subtraction stage configured to use the data record to form a difference between a mean value of the loci representing movements of the shoulder and a locus representing the head movement, and generating a profile of a

neck movement pattern derived therefrom in at least one of three space coordinates.

In other words, the apparatus has a data processing system which is connected to a receiver configuration for recording the locus of each marker and which comprises a processing stage for calculating the data record, representing the loci, from the signals of the receiver configuration. Arranged downstream of this processing stage is a subtraction stage which uses the data record to form the difference between the mean value of the two loci representing the shoulder movement and the locus representing the head movement, and generates the profile of the neck movement pattern derived therefrom in the, or in each of the, three space coordinates.

The receiver configuration expediently comprises two receivers arranged at right angles to one another. The receivers can be ultrasound transducers, CCD cameras (video cameras), photoelements or the like which are arranged distributed relative to one another in space and serve to record and, if appropriate, preprocess acoustic or optical signals. Owing to the arrangement of the receivers at right angles to one another, the loci of the markers are recorded in at least two different planes, for example in the xy-plane and in the yz-plane or in the xz-plane. The coordinates of the loci with reference to the third plane can then be calculated from the

measured data of the two receivers. When ultrasound is used instead of light for marking purposes, the measurement can also be carried out in a non-darkened space.

5 Arranged downstream of the processing stage for the purpose of storing the data acquired in the current measurement is a database wherein reference data records determined in a multiplicity of reference measurements are preferably also stored. An analysis module or an analysis stage of the data
10 processing system can then use the currently acquired data record and the corresponding reference data record to determine a number of characteristics or reference values which are used in a comparison module or in a comparison stage in the manner of data recognition to determine the degree of
15 correspondence between the data records. The data processing system can then subsequently assign to each data record an identifier corresponding to an injury or trauma, and transfer the data record with the aid of the identifier to the data
20 base for the purpose of expanding the corresponding reference data record.

The processing stage assigns the locus of each marker to the data record, expediently as a data field. A matrix with a number of data fields corresponding to the number of the
25 markers is thereby provided, each data field including the three space coordinates referred to a Cartesian coordinate

system at the respective instant. A temporary data record memory for buffering the acquired measured data is advantageously arranged downstream of the processing stage.

5 The advantages achieved with the aid of the invention consist, in particular, in that a reliable statement on a degree, the extent and the location of an injury or trauma to the cervical column of a subject can be made from a computer-assisted evaluation of the measured data acquired for a number of
10 optically or acoustically acquired movements, and the neck movement patterns, derived therefrom by subtracting the shoulder and head movements, with the aid of corresponding curves. Whereas the measured data are acquired virtually without contact on the body of the subject, the evaluation is
15 performed in a data processing system which is detached from the body of the subject and wherein the measured data are processed outside the body and conditioned for analyzing the neck movement patterns. In addition, it is possible in
20 appropriate representations of the loci to distinguish between summary head-neck movements and the body and trunk movements, as well as between head movement (rotation, nodding) and neck movements.

The evaluation of the neck movement patterns both of healthy
25 and of pathological cervical column movements on the one hand permits the application of a knowledge base with a number of

reference data and reference patterns with the aid of which
currently acquired and non-diagnosed neck movement patterns
can be assigned to known injuries and types of trauma. On the
other hand, it is possible thereby and with the aid of the
5 neck movement patterns to make even qualitative and
quantitative as well as, in particular, comprehensible
statements on a possible causal relationship between the
disturbances, and to use them as proof or evidence of a
cervical column whiplash injury, in particular one caused by
10 an accident.

Other features which are considered as characteristic for the
invention are set forth in the appended claims.

15 Although the invention is illustrated and described herein as
embodied in a method and apparatus for determining the neck
movement pattern, it is nevertheless not intended to be
limited to the details shown, since various modifications and
structural changes may be made therein without departing from
20 the spirit of the invention and within the scope and range of
equivalents of the claims.

The construction and method of operation of the invention,
however, together with additional objects and advantages
25 thereof will be best understood from the following description

of specific embodiments when read in connection with the accompanying drawings.

Brief Description of the Drawings:

5 Fig. 1 is a schematic diagram of an apparatus having components provided for evaluating a movement pattern;

10 Figs. 2 to 5 (each with four sub-figures) show head and shoulder movement patterns in a projection onto the yz-plane (left-hand top and bottom half) and onto the xy-plane (right-hand top and bottom half);

15 Figs. 6 to 9 are graphs showing, in an amplitude-time scheme, differential movement patterns of the neck movements corresponding to the movement patterns in accordance with Figs. 2 to 5, in the three space coordinates x, y, z; and

Fig. 10 is a graph showing a further neck movement pattern in an illustration similar to Figs. 6 to 9.

Description of the Preferred Embodiments:

Referring now to the figures of the drawing in detail and first, particularly, to Fig. 1 thereof, there are shown two receivers 2 aligned orthogonally relative to one another and provided for the purpose of acquiring the head-body movement patterns of a subject 1. The receivers 2 receive signals from

a number of markers M_i moving along with the subject 1. The visualization of the body movement can be implemented with particular ease in an optical way. In this case, use is made of incandescent lamps or light-emitting diodes as markers M_i and, correspondingly, one camera each, such as a video camera, for example, as receiver 2. The movement pattern can also be marked by ultrasonic transmitters as markers M_i and ultrasonic receivers as receivers 2. Alternatively, it is also possible to use passive markers M_i which merely reflect the signal emitted by an external source. As is usual in what is termed craniocorpography (CCG or UCCG), in this case the observation is expediently limited to the head and shoulder movement of the subject 1. For this purpose, one marker M_1 and M_2 each is fitted on or over the left-hand shoulder and on or over the right-hand shoulder of the subject 1, and one further marker M_3 and M_4 each is fitted on or over the forehead of the subject and on or over the back of his head.

The receivers 2 in each case feed a two-dimensional image of the movement of the markers M_i to a processing stage 31 which is included in a data processing system 3 and uses the images transmitted by the receivers 2 to determine the loci m_i of each marker M_i in three-dimensional space as a function of time t . The space coordinates of each locus m_i are presented in a Cartesian coordinate system x, y, z , the initial position of the subject 1 being assigned to the origin, and the x -axis thereby

corresponding to the lateral axis. The y-axis then runs horizontally in the walking direction of the subject 1, while the z-axis extends vertically upward. The datum planes of the coordinate system, which are formed by the respective axes, are the xy-plane (horizontal), the yz-plane (longitudinally vertical) and the zx-plane (laterally vertical).

The calculation of the locus m_i of each marker M_i is performed by means of the data processing system 3 with the aid of an algorithm of the processing stage 31. If an analog recording technique is used for the receivers 2, a conversion from analog data into digital data firstly takes place in the processing stage 31. The processing stage 31 transfers the loci m_i as a data record DS to a preferably temporary data memory 32. The latter is divided in this case into data fields DF_i . A data field DF_i represents the locus m_i of a marker M_i .

The data memory 32 makes the data record DS available to an analysis module 33, which is implemented in the form of software and has an imaging stage 33a and a subtraction stage 33b. Moreover, the data record DS is stored in a database 34. The imaging stage 33a produces a projection of the loci m_i onto the datum planes xy, yz and zx in each case by selecting data from the data record DS. Since the loci m_i typically have a periodic structure caused by a body sway, an algorithm of the analysis module 33 carries out a subdivision of the loci m_i

into periodic sequences. Such a sequence, whose start and end is marked in each case by a sharp change in direction of the locus m_i , corresponds in this case exactly to one cycle of the body sway. A number of characteristics and parameters can be derived in a way not represented in more detail by further algorithms of the analysis module 33 from the loci m_i projected onto the datum planes xy (horizontal plane), yz (longitudinal-vertical plane) and zx (lateral-vertical plane) and subdivided into sequences.

The projections of the loci m_i onto the xy-plane and onto the yz-plane are preferably measured geometrically and physically in order to evaluate typical shoulder and head movement patterns. Corresponding movement patterns are illustrated in Figs. 2 to 5. These figures respectively show in the top half of the sheet a movement pattern for a point on the forehead which was generated during a sequence of steps by the respective subject 1, and an associated movement pattern of the right shoulder in the bottom half of the figure in each case. The left half of the figure respectively corresponds in this case to the yz plane, while the right half of the figure respectively shows a representation in the xy-plane.

Because of the periodic structure of each locus m_i , the relevant parameters are the amplitude, the period, the frequency of a sway and the distance (step size) covered

during a sway period in and transverse to the direction of sway. These parameters can both be determined from a single sequence (single-step analysis) and be derived statistically from a number of sequences and specified in the form of a mean value and standard deviation (whole-reaction analysis).

Moreover, irregularities in the body sway can be quantified by specifying an amplitude distribution and a frequency distribution obtained by means of spectral analysis (Fourier transformation). Moreover, physical parameters and characteristics can be determined from the centroid movement of the body, the rotation of the body in space and the rotation of the head relative to the trunk. The loci m_i of a plurality of markers M_i can also be combined with one another for this purpose.

By selection from the data record DS, the subtraction stage 33b forms the difference between the shoulder movement represented by the corresponding loci m_i and the head movement acquired at the same time. In this case, the mean value is firstly formed from the acquired loci m_2 and m_1 of the right-hand and the left-hand shoulder markers M_2 and M_3 , respectively. This mean value profile of the shoulder and thus the trunk movement therefore represents the virtual subpoint of the cervical column of the subject 1. The neck movement or mobility of the cervical column of the subject 1 thereby isolated from head and shoulder or trunk movements is yielded

by subtracting the head movement from this mean value. Only the locus m_3 of the forehead marker M_3 , for example, can be used for this purpose. Alternatively, the head movement can be calculated by the subtraction stage 33b by averaging the loci m_3 and m_4 of the forehead marker M_3 or marker at the back of the head M_4 . The subtraction and thus the isolation of the neck movement pattern from the acquired head-body movement (craniocorpogram) is yielded for each of the three space coordinates in accordance with the relationships:

$$\Delta x = \frac{1}{2}(x_2 + x_1) - x_{3,4} = \frac{1}{2}(x_{rs} + x_{ls}) - x_K$$

$$\Delta y = \frac{1}{2}(y_2 + y_1) - y_{3,4} = \frac{1}{2}(y_{rs} + y_{ls}) - y_K$$

$$\Delta z = \frac{1}{2}(z_2 + z_1) - z_{3,4} = \frac{1}{2}(z_{rs} + z_{ls}) - z_K$$

Here, the respective minuend represents the profile of the mean value of the shoulder movements (right shoulder rs , left shoulder ls), and thus the profile of the virtual subpoint of the cervical column, while the subtrahend characterizes the profile of the head movement (head K), for example likewise as mean value.

The corresponding profiles of the neck movement patterns

$\Delta x, \Delta y, \Delta z$ in the three space coordinates are shown by Figs. 6

to 9, Δx representing the lateral, Δy the anterior-posterior,

and Δz the vertical/cervical neck movement patterns. The neck

5 movement patterns of Figs. 6 to 9 determined by the described

subtraction method (cervical subtraction kinesimetry) were

determined from the loci m_i and data records DS on which Figs.

2 to 5 are based.

10 Figs. 2 and 6 show spatial/temporal measurement diagrams of 3D

measurements of the loci m_i ; of the head movements (Fig. 2 top)

acquired on the forehead, and of the movement of the right

shoulder (Fig. 2 bottom) or neck movements derived therefrom

in three direction-time planes of a 54-year-old man after a

15 head-neck whiplash injury. In this case, the left-hand top

diagram and the right-hand bottom diagram in Fig. 2

respectively represent a projection of the locus M_3 or m_2 onto

the yz -plane, while the right-hand top and bottom diagrams

represent a projection of the respective locus m_3 or m_2 onto

20 the yz -plane.

The profile of the projected locus m_2 in the right-hand bottom

half of Fig. 2 shows, the corresponding xy movement analysis

of the right shoulder, normal step cycles of approximately $2\frac{1}{4}$

25 π as an expression of the periodicity, as presented by means

of the circular function, of the step movement in the course of two seconds. A striking feature of the yz representation in accordance with the left-hand bottom half of Fig. 2 is that certain vertical irregularities occur during the step cycles.

- 5 The subtraction for isolating the neck movement or the neck movement pattern is performed using the stated relationships for $\Delta x, \Delta y, \Delta z$.

Using the profiles in the associated Fig. 6, which are determined with the aid of the subtraction analysis of the neck movement, the amplitude-time movement pattern Δy shows a strongly dysrhythmic neck movement pattern, which has disturbed head nodding and head sliding movements in the y-coordinate. This pattern indicates a clear disturbance in the head socket joint. In this case, the y-coordinate represents the head nodding movements, that is to say the anterior-posterior movements, while the x-coordinate represents the lateral movements and transverse variations. The vertical movements, that is to say the stamping movements, are represented by means of the z-coordinate.

Figs. 3 and 7 show spatial/temporal measurement diagrams of 3D measurements of the loci m_1 of the head movements at the forehead (Fig. 3 top) and at the right shoulder (Fig. 3 bottom), and neck movements derived therefrom in three

direction-time planes of a 60-year-old man with the complaint of central vertigo. In this case, the left-hand top and bottom diagrams in Fig. 3 respectively represent a projection of the locus m_3 or m_2 onto the yz -plane, while the right-hand top and bottom diagrams represent a projection of the respective locus m_3 or m_2 onto the yz -plane. The yz - and xy -representations in accordance with Fig. 3 show regular step cycles of 2π in the course of two seconds. Coarsened sways in the nodding axis y are shown by the subtraction analysis of the head movements with the aid of the difference profile Δy according to Fig. 7. A striking feature is the irregular transverse variation pattern Δx , as well as the raising and lowering pattern Δz of the head in the z -coordinate during the individual step cycles.

Figs. 4 and 8 show spatial/temporal measurement diagrams of 3D measurements of the loci m_1 of the head movements at the forehead (Fig. 4 top) and at the right shoulder (Fig. 4 bottom) and neck movements derived therefrom in three

direction-time planes of a 63-year-old man in the case of combined statoacoustic disturbances, that is to say in the case of multiple neurosensory disturbances of the inner ear in the auditory and balancing section. In this case, the left-hand top and bottom diagrams in Fig. 4 respectively represent a projection of the locus m_3 or m_2 onto the yz plane, while the

right-hand top and bottom diagrams represent a projection of the respective locus m_3 or m_2 onto the yz plane. An irregular and constricted movement pattern is to be observed with reference to the head and the shoulder, respectively, in the yz representation in accordance with the top and bottom right-hand half of Fig. 4, while the xy representation in accordance with the right-hand half of the Fig. shows a regular step cycle pattern over 3π . The subtraction analysis of the neck movement patterns in accordance with Fig. 8 shows an only slightly disturbed picture of the step cycles on the head nodding axis Δy . The transverse variation axis of the head is irregular and denoted by a dysrhythmic movement pattern Δx . The vertical movement, that is to say the upward and downward movement pattern Δz , is substantially normal in the amplitude-time representation.

Figs. 5 and 9 show spatial/temporal measurement diagrams of 3D measurements of the loci m_i of the head movements at the forehead (Fig. 5 top) and at the right shoulder (Fig. 5 bottom) as well as neck movements derived therefrom in three direction-time planes of a 25-year-old woman after cervical column whiplash injury. Here, the left-hand top and bottom diagrams in Fig. 5 respectively represent a projection of the locus m_3 or m_2 onto the yz -plane, while the right-hand top and bottom diagrams represent a projection of the respective locus

m_3 or m_2 onto the yz -plane. In accordance with Fig. 5, while marking time (stepping test), this subject 1 images approximately 4 Pi in the course of two seconds. In accordance with Fig. 9, the subtraction analysis of the neck movements shows a constricted movement pattern Δy on the head nodding axis. The transverse variations Δx are also strikingly constrained. The vertical head movements are stable according to the Δz profile. This neck movement pattern characterizes what is termed a "stiff neck" with a striking constraint of head movement.

Referring now to Fig. 10, there is shown, in a representation similar to Figs. 6 to 9, the state of a 47-year-old man with the complaint of tinnitus, that is to say noise disturbances of the inner ear. The step cycle pattern of the subtraction analysis of the neck movements shows a regular Δy movement pattern which in this form serves, in particular, to stabilize the balance of the eyes by pushing, lowering and raising the head.

In addition to the physical and/or geometric characterization, it is also possible, for example, to determine the correspondence between the linear form of the loci m_i and comparison or reference patterns stored in the database 34. An appropriate comparison can be referred to an individual

sequence or to the entire locus m_i . In this case, variation sequences can be wherein with the aid of the shape and possible reversal regions. Arcuate, loop or pointed reversal regions are typical in this case. The contour shape of the surface swept over by a projection of a locus m_i can be used to describe the overall locus m_i .

A reference data record, which has a data structure corresponding to the data record DS and is created in a reference measurement by analogy with creation of the current data record DS, is made available to the analysis module 33 from the database in order to evaluate the data record DS. The data processing system 3 determines the degree of correspondence between the data records from the reference data record by comparison with the current data record DS. A current movement pattern can then be typified or at least qualitatively specified with the aid of a direct or indirect pattern comparison.

The analysis module 33 is connected to an output module 4, for example a display screen, a printer or a plotter, in order to output the head and shoulder movement patterns and the neck movement pattern. Furthermore, the movement pattern stored in the data record memory 32 in the form of the data record DS can be output via this output module 4.